

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SUSUMU SENSYU

Appln. No. 09/353,998

Filed: July 15, 1999

For: OPTICAL DISC, METHOD OF WRITE  
TO SAME AND METHOD OF READ  
FROM SAME

) Group Art Unit: 2752

) Examiner: Not Assigned

) **RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION AND SUBMISSION  
OF COMBINED POWER OF ATTORNEY AND  
DECLARATION AND REQUEST FOR  
CORRECTION OF OFFICIAL FILING RECEIPT**

) 2001 Ferry Building  
) San Francisco, CA 94111  
) 415/433-4150



Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 11, 1999 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney.

Also enclosed is a Request for Correction of the Official Filing Receipt.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

September 2, 1999  
(Date)

SONY-P9817  
(S99P0817US00)

By:

  
Mark A. Dalla Valle  
Registration No. 34,147  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 2, 1999.

LIMBACH & LIMBACH L.L.P. Date: September 2, 1999

By:   
Name: Susan Ozanne

RECEIVED

FILING RECEIPT

AUG 17 1999

LIMBACH & LIMBACH LLP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/353,998	07/15/99	2752	\$1,254.00	SONY-P98170P	14	9	6

CHARLES P SAMUT ESQ  
LIMBACH & LIMBACH LLP  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) SUSUMU SENSYU, KANAGAWA, JAPAN.

FOREIGN APPLICATIONS- JAPAN P10-219582 07/17/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/10/99  
TITLE

OPTICAL DISC, METHOD OF WRITE TO SAME AND METHOD OF READ FROM SAME

PRELIMINARY CLASS: 369

FOR FILING STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

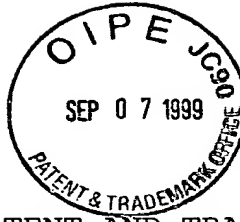
FOREIGN FILING DEADLINE: \_\_\_\_\_

18 / 1/15/01  
ON CAP

DATA ENTRY BY: ARMSTEAD, LORRAINE TEAM: 06 DATE: 08/10/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2752
SUSUMU SENSYU	)	Examiner: UNKNOWN
Appln. No.: 09/353,998	)	REQUEST FOR CORRECTION
Filed: July 15, 1999	)	OF THE OFFICIAL FILING
	)	<u>RECEIPT</u>
For: OPTICAL DISC, METHOD OF	)	2001 Ferry Building
WRITE TO SAME AND METHOD OF)	)	San Francisco, CA 94111
READ FROM SAME	)	415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 9/2/99

LIMBACH & LIMBACH L.L.P.

Date: 9/2/99

By: Susan Ozanne  
Name: **SUSAN OZANNE**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt (Form PTO-103X) which was received in our offices on August 17, 1999 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the Attorney Docket No. to read:  
**SONY-P9817**

PATENT

Please forward the amended Official Filing  
Receipt to:

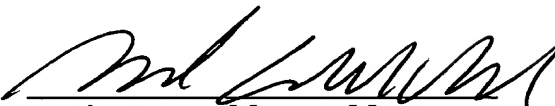
Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee  
pursuant to 37 C.F.R. § 1.19(h) is required in  
connection with this request, the Commissioner is  
hereby authorized to charge payment of any fees  
associated with this communication or credit any  
overpayment to Deposit Account No. 12-1420. A  
duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Date: Sept. 2, 1999

By:   
Mark A. Dalla Valle  
Reg. No. 34,147

Attorneys for Applicant(s)

Attorney Docket No. SONY-P9817 (S99P0817US00)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/353,998	07/15/99	SENSYU	SONY-P98170P

CHARLES P. SAMUT ESQ.  
LIMBACH & LIMBACH LLP  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

0262/0811



NOT ASSIGNED

2752

DATE MAILED:

08/11/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS** FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**